



Planning Grant Application Instructions



Multiple-Use Water Services to Reduce Poverty and Vulnerability to Climate Change

Selection of one winning team will take place in two steps:

Step One: Planning Grant Competition: Interested researchers should submit a 5-page planning grant concept according to the below format. Three winning concepts will be selected by a panel of experts to receive a planning grant of up to US \$1,500 for a one month period.

Concepts proposing field sites in two or more of the following states will be given priority: West Bengal, Uttar Pradesh, Orissa, Madhya Pradesh, and/or Rajasthan.

Planning grants allow recipients one month to undertake travel, communications and/or convenings to form a multi-disciplinary team and prepare a two-year proposal. Individuals or teams of up to four principal researchers may apply for a planning grant. For example:

- An individual researcher may apply and use the planning grant funds to reach out to three other researchers to form a multidisciplinary team.
- Two researchers from different disciplines may apply jointly for the planning grant. They would use the planning grant funds to recruit two additional researchers to ensure a multi-disciplinary team.

Step Two: Two-Year Grant Competition: From the three proposals submitted by planning grant winners, one will be selected as the two-year grant winner. Support will include funds for researcher salaries, travel, communications, research assistants and other direct research costs.

Eligibility Requirements

Planning grant concepts are accepted from mid-career scholars who are under 45 years of age, and are citizens of and reside in India. Preference will be given to applicants who live and work in the following states: West Bengal, Uttar Pradesh, Orissa, Madhya Pradesh, and/or Rajasthan.

Applicants must have at least an M.S. / M.A. degree in a relevant field or work experience in related areas. Applicants must be proficient in English. Women and members of scheduled castes and/or tribes are especially encouraged to apply.

Multidisciplinary teams including principal researchers from both the social sciences (i.e. rural sociology; political ecology, natural resource economics) and natural sciences (i.e. hydrology, civil engineering) are preferred.

Planning Grant Concept Evaluation Criteria

Planning grant concepts that meet the eligibility requirements will be reviewed by an independent committee made up of experts. Concepts will be evaluated using the following criteria:

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- **Benefit to rural poor households:** Local, state, and national government decision-makers would perceive the proposed research as a priority to ensure that the rural poor have equitable access to multiple use water services which are resilient to climate variability.
- **Involvement of key decision makers:** Concept focuses on generating results which will inform policy and practice. Concept clearly explains how the research will involve key decision makers in local, state and national government, NGOs, and local level water decision-making authorities in India.
- **Caste and gender equity:** Concept explains, briefly, how the research will assess the impacts of caste and gender on current access to water and vulnerability to climate change.
- **Field sites in two or more states:** Concept proposes comparative field work in two or more states (West Bengal, Uttar Pradesh, Orissa, Madhya Pradesh, and/or Rajasthan), to ensure that the findings are representative of a wide geographic region. Concept includes a plan to influence policy and institutional practices of local, state and national government.

Application Instructions

Planning grant concepts must be written in English and follow the format below. These guidelines and application forms can be found at www.winrock.org/JDR.

The deadline for applications is **November 18th, 2008**. Late or incomplete applications will not be accepted.

Planning grant concepts should be e-mailed to: JDR3MUS@winrock.org.

The JDR 3RD Scholars Program reserves the right to fund any or none of the applications submitted.

Timeline

Planning grant concepts due:	November 18 th , 2008
Planning grants awarded:	November 26 th , 2008
Two-year grant proposals due from the planning grant winners:	January 5 th , 2008
Two-year grant awarded to one winning team:	January, 2008

Questions?

If you have questions about the application process, please e-mail JDR3MUS@winrock.org or call Jeff Apigian at: +1 703 525 9430 ext. 667.

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Planning Grant Concept Format

All planning grant concepts must be written in English and follow the format below. Concepts are limited to five (5) pages, excluding a one-page cover sheet and the required attachments.

Cover sheet. Please fill out the one-page cover sheet form on the next page.

Planning grant concept. Planning grant concepts must be **5 pages or less**, and should use the following outline:

- ❑ **Background** – Describe the context and issues underlying the concept, and the researchers' past experience with water resources management and/or rural sociology.
- ❑ **Planning grant activities and schedule** – Describe how you will use the planning grant funds to form a multi-disciplinary team of four principle researchers from the target states.
- ❑ **Anticipated research focus** – Give a brief description of your proposed focus and approach, with the understanding that it will be further developed once your team is complete. You may wish to discuss any methodological innovations you will propose (for example, integration of social and natural sciences; participatory approaches; gender aspects; etc.).
- ❑ **Policy influence** – Briefly discuss how you would propose to achieve broader policy results through involvement of key decision-makers in the research project.

Required attachments. Please include only the following attachments. Other attachments will not be reviewed.

1. **One-page personnel summary.** Provide one page with a one-paragraph biography of each key person involved in the planning grant, including language skills and other professional commitments for the period 2009-2010. Include 1-2 sentences explaining each person's role and how his/her background and skills will be brought to bear on the proposed research.
2. **CVs.** Provide a curriculum vitae for each key person involved in the planning grant.
3. **Planning grant budget.** Please fill in the planning grant budget template provided. Total budget requests may be up to US \$1,500 for the period November 26th – January 5th.
4. **Writing sample** of 5 pages or less on a topic related to the proposed concept.

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Planning Grant Concept Cover Sheet (All applicants must submit this form – 1 page maximum length)

Title of proposed concept:

One paragraph description of concept:

Lead researcher (The planning grant leader will assume the main responsibility for the technical and administrative coordination of the planning grant.)

Name of planning grant lead researcher:	
Name of lead researcher's organization:	
Street address:	
City:	
Postal code:	
Phone number:	
Fax number:	
E-mail address:	

Partnering researcher(s), if any

Name(s), title(s) and organization(s) of partner researcher(s), if any:	Address of partner researcher(s):	E-mail address of partner researcher(s):

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Planning Grant Budget Template

Name of lead researcher:

Please show all figures in US dollars.

LINE ITEMS	NOTES (number and list below)	UNIT COST	UNITS	TOTAL
I. SALARIES (Provide DAILY RATE and estimated number of days for each person)				
1.				
2.				
3.				
4.				
TOTAL SALARIES				0
II. TRAVEL				
1. Airfare				
2. Per diem				
3. Enroute travel expenses (taxis, etc.)				
TOTAL TRAVEL				0
III. OTHER (please list, for example: translation; office supplies; communications; meeting costs; etc.)				
1.				
2.				
3.				
4.				
5.				
6.				
TOTAL OTHER				0
TOTAL ESTIMATED COSTS (not to exceed US \$1,500)				0

List Notes:
